

Boundary Value Problems for Differential Equations in Banach Space

JAMES R. WARD, JR.*†

Department of Mathematics, Pan American University, Edinburg, Texas 78539

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1. INTRODUCTION

Consider the integral equation

$$u(t) = W(t, 0) u(0) + \int_0^t W(t, s) f(s, u(s)) ds \quad (t \in J) \quad (\text{I})$$

in a Banach space X together with a linear boundary condition

$$Qu = a. \quad (\text{II})$$

Here $J = [0, T]$ is an interval of real numbers, $\{W(t, s): 0 \leq s \leq t \leq T\}$ is a strongly continuous family of evolution operators on X , and $f: J \times X \rightarrow X$ is a function. Q in (II) is a continuous linear operator mapping $C(J, X)$ into X and $a \in X$ is given.

We offer sufficient conditions for the existence of a solution to the nonlinear integral equation (I) which also satisfies the boundary condition (II). We assume f satisfies Caratheodory-type conditions which do not imply that f maps bounded sets in $J \times X$ into bounded sets in X .

If the evolution system $W(t, s)$ has a closed densely defined family of generators $A(t)$ then equation (I) is an integrated form of the differential equation

$$u'(t) = A(t) u(t) + f(t, u(t)). \quad (\text{I}')$$

The approach we take is motivated by the papers of Opial [8], Conti [2], Chow and Lasota [1], and Kartsatos [5] on boundary value problems for ordinary differential equations in R^n , and by the paper of Pazy [9] on initial value problems in Banach space.

In Section 2 we give notation and some preliminary results. In Section 3 we give our main results, and in Section 4 we apply these results to boundary problems for some parabolic partial differential equations.

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† Current address: Department of Mathematics, University of Alabama, University, Alabama 35486.

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2. PRELIMINARIES

Let R denote the set of real numbers and let $J = [0, T]$ be a compact interval of real numbers. Let X be a Banach space with norm $|\cdot|$. By $C = C(J, X)$ we mean the Banach space of continuous X valued functions defined on J with norm $\|\cdot\|$ defined by

$$\|u\| = \sup_{t \in J} |u(t)|$$

for $u \in C$. The space of bounded linear transformations on X will be denoted by $L(X)$, and norms in $L(X)$ by $|\cdot|$.

We assume the following for the evolution system $W(t, s)$:

$$W(t, s) \in L(X) \quad \text{whenever} \quad 0 \leq s \leq t \leq T \quad (2.1)$$

and for each $x \in X$ the mapping $(t, s) \rightarrow W(t, s)x$ is continuous.

$$W(t, s)W(s, r) = W(t, r) \quad \text{whenever} \quad 0 \leq r \leq s \leq t \leq T. \quad (2.2)$$

$$W(t, t) = I, \quad \text{the identity operator on } X, \text{ for each } t \in J. \quad (2.3)$$

$$W(t, s) \text{ is a compact operator whenever} \quad (2.4)$$

$$t - s > 0 \quad (0 \leq s \leq t \leq T).$$

The reader is referred to [4] for sufficient conditions for (2.1)–(2.3) to hold for evolution systems with generator $A(t)$. If the conditions in [4, p. 108] are satisfied, and if for each $t \in J$ there is a number λ in the resolvent set of $A(t)$ such that the resolvent $R(\lambda; A(t))$ is compact then the generated evolution system will satisfy (2.4); see [3].

We will assume that $f: J \times X \rightarrow X$ satisfies the following.

(C1) For each $t \in J$ the function $f(t, \cdot): X \rightarrow X$ is continuous, and for each $x \in X$ the function $f(\cdot, x): J \rightarrow X$ is strongly measurable.

(C2) For every positive integer k there exists $g_k \in L^1(J)$ such that for a.a. $t \in J$

$$\sup_{|x| \leq k} |f(t, x)| \leq g_k(t).$$

For the definition and main results concerning strongly measurable functions, the reader is referred to [6, Sects. 3.5–3.8].

We need the following lemmas.

LEMMA 2.1. Let $\{W(t, s): 0 \leq s \leq t \leq T\}$ satisfy (2.1)–(2.4); then for each fixed $s \in [0, T)$ the mapping $t \rightarrow W(t, s)$ from $(s, T]$ into $L(X)$ is continuous in the uniform operator topology on $L(X)$. Moreover, this continuity is uniform with respect to s in sets bounded away from t , i.e., as long as $t - s \geq \beta$ for any fixed $\beta > 0$.

Proof. Let $0 < \beta < T$ be fixed and let $S = \{x \in X: |x| \leq M\}$ where $M = \sup\{|W(t, s)|: 0 \leq s \leq t \leq T\}$. M is finite by (2.1) and the principle of uniform boundedness. Fix $t, \beta < t \leq T$ and let $K = W(t, t - \beta)S$. The set K is totally bounded because $W(t, t - \beta)$ is compact. Thus given $\epsilon > 0$ there exists a finite subset $\{y_1, \dots, y_n\}$ of S such that the n balls defined by $B_j = \{x \in X: |x - W(t, t - \beta)y_j| < \epsilon\}$ for $j = 1, 2, \dots, n$, cover K .

Now choose $0 < \delta < 1$ so that $0 < h < \delta$ implies $|W(t + h, t - \beta)y_j - W(t, t - \beta)y_j| < \epsilon$ for $j = 1, 2, \dots, n$. This is possible by the strong continuity of $W(t, s)$, (2.1).

Now let $x \in X$ with $|x| \leq 1$ and let $y = W(t - \beta, s)x$. Then $y \in S$ and $W(t, s)x = W(t, t - \beta)y$; hence there is an integer $j \in \{1, 2, \dots, n\}$ such that $|W(t, t - \beta)y - W(t, t - \beta)y_j| < \epsilon$. We now have for $0 < h < \delta$:

$$\begin{aligned} |W(t + h, s)x - W(t, s)x| &= |W(t + h, t - \beta)y - W(t, t - \beta)y| \\ &\leq |W(t + h, t - \beta)y - W(t + h, t - \beta)y_j| \\ &\quad + |W(t + h, t - \beta)y_j - W(t, t - \beta)y_j| \\ &\quad + |W(t, t - \beta)y_j - W(t, t - \beta)y| \\ &\leq |W(t + h, t)|\epsilon + \epsilon + \epsilon \leq \epsilon(M + 2). \end{aligned}$$

Since $\epsilon > 0$ was arbitrary and δ depended only on t and β , this proves the lemma for continuity from the right. The proof for continuity from the left requires little change and is omitted.

If $f: J \times X \rightarrow X$ satisfies (C1) and $u \in C(J, X)$ then $V: J \rightarrow X$ defined by $V(t) = f(t, u(t))$ is strongly measurable. The proof of this in case X is infinite dimensional parallels that of the case for $X = \mathbb{R}^n$ as presented in [10, p. 92], making use of the results in [6], particularly Theorem 3.5.4, p. 74. If f satisfies (C2) in addition to (C1) then also V is Bochner integrable on J , and again the argument parallels that of [10, p. 92] for finite dimensional spaces, making use of the dominated convergence theorem for Bochner integrals [6, p. 83].

We therefore define an operator $\psi: C \rightarrow C$ by

$$\psi u(t) = \int_0^t W(t, s)f(s, u(s)) ds \quad (2.5)$$

for each $u \in C$ and $t \in J$.

LEMMA 2.2. *Let ψ be defined by (2.5). Then ψ maps C into itself and is completely continuous, i.e., ψ is continuous and maps bounded sets in C into relatively compact sets.*

Proof. Let $B_k = \{u \in C: \|u\| \leq k\}$ for some $k \geq 1$. We first show that ψ maps B_k into an equi-continuous family and *a fortiori* $\psi u \in C$ for $u \in C$. Let $u \in B_k$ and $t, \tau \in J$. Let $\epsilon > 0$. Then if $0 < \epsilon < t < \tau$

$$\begin{aligned} |\psi u(t) - \psi u(\tau)| &\leq \left| \int_t^\tau W(\tau, s) f(s, u(s)) ds \right| \\ &\quad + \left| \int_0^{t-\epsilon} [W(t, s) - W(\tau, s)] f(s, u(s)) ds \right| \\ &\quad + \left| \int_{t-\epsilon}^t [W(t, s) - W(\tau, s)] f(s, u(s)) ds \right| \\ &= I_1 + I_2 + I_3. \end{aligned}$$

We have for estimates

$$\begin{aligned} I_1 &\leq M \int_t^\tau g_k(s) ds \\ I_2 &\leq \int_0^{t-\epsilon} |W(t, s) - W(\tau, s)| g_k(s) ds \\ I_3 &\leq 2M \int_{t-\epsilon}^t g_k(s) ds. \end{aligned}$$

The bounds on I_1 and I_3 may be made small by choosing t close to τ and ϵ sufficiently small. By Lemma 2.1 $W(t, s)$ is continuous in the operator norm, uniformly for $t - s \geq \epsilon$ and thus $I_2 \rightarrow 0$ as $t \rightarrow \tau$, or as $\tau \rightarrow t$. Thus ψ maps B_k into an equicontinuous family of functions. Clearly the family ψB_k is uniformly bounded also.

Now let $0 < t \leq T$ be fixed and ϵ a real number satisfying $0 < \epsilon < t$. Define $\psi_\epsilon(t, \cdot)$ by $\psi_\epsilon(t, u) = \int_0^{t-\epsilon} W(t, s) f(s, u(s)) ds$ for all $u \in B_k$. By (2.2) $\psi_\epsilon(t, u) = W(t, t - \epsilon) \int_0^{t-\epsilon} W(t - \epsilon, s) f(s, u(s)) ds$. By hypothesis $W(t, t - \epsilon)$ is a compact operator and hence the set $K_\epsilon(t) = \{\psi_\epsilon(t, u): u \in B_k\}$ is precompact in X . Also,

$$\begin{aligned} |\psi u(t) - \psi_\epsilon(t, u)| &\leq \int_{t-\epsilon}^t |W(t, s) f(s, u(s))| ds \\ &\leq M \int_{t-\epsilon}^t g_k(s) ds. \end{aligned}$$

Thus there are precompact sets arbitrarily close to the set $k_0(t) = \{\psi u(t): u \in B_k\}$ and therefore $k_0(t)$ is precompact. By the Arzela-Ascoli theorem it follows that ψ maps B_k into a precompact set in C .

It remains to show that $\psi: C \rightarrow C$ is continuous. Let $\{u_n\}_{n=1}^\infty \subseteq C$ with $u_n \rightarrow u$ in C . Then there is an integer N such that $\|u_n(t)\| \leq N$ for all n and $t \in J$, so

that $u_n \in B_N$ and $u \in B_N$. By (C1) $f(t, u_n(t)) \rightarrow f(t, u(t))$ for each $t \in J$ and since $|f(t, u_n(t)) - f(t, u(t))| \leq 2g_N(t)$ we have by dominated convergence

$$\begin{aligned} \|\psi u_n - \psi u\| &= \sup_{t \in J} \left| \int_0^t W(t, s) [f(s, u_n(s)) - f(s, u(s))] ds \right| \\ &\leq M \int_0^T |f(s, u_n(s)) - f(s, u(s))| ds \rightarrow 0 \end{aligned}$$

as $n \rightarrow \infty$. Thus ψ is continuous. This completes the proof of the lemma.

The operator Q in (II) is a continuous linear mapping from C into X . We define $\tilde{Q} \in L(X)$ by

$$\tilde{Q}x = Q[W(\cdot, 0)x] \quad (2.6)$$

for all $x \in X$. We will assume in the sequel that \tilde{Q} has a bounded inverse. We will need the following lemma, the proof of which is straightforward and is omitted.

LEMMA 2.3. *Let $\{W(t, s): 0 \leq s \leq t \leq T\}$ satisfy (2.1)–(2.3) and let f satisfy (C1) and (C2). Assume \tilde{Q} has a bounded inverse \tilde{Q}^{-1} . Then a function $u \in C$ solves (I), (II) if and only if u is a solution to the equation*

$$u = Vu + \psi u \quad (2.7)$$

where ψ is defined by (2.5) and $V: C \rightarrow C$ is defined by

$$Vu(t) = W(t, 0)\tilde{Q}^{-1}[a - Q\psi(\cdot, u)] \quad \text{for } u \in C \text{ and } t \in J. \quad (2.8)$$

LEMMA 2.4. *Let $N = V + \psi$. Then $N: C \rightarrow C$ and is completely continuous.*

Proof. It is clear from the definition of V and by Lemma 2.2 that N maps C into itself. Moreover since the mapping $x \rightarrow W(\cdot, 0)x$ is bounded and linear from X into C the operator V is continuous and compact since for all $k > 0$ the set $\{\tilde{Q}^{-1}[a - Q\psi(\cdot, u)]: u \in B_k\}$ is precompact in X . Hence $N = V + \psi$ is continuous and compact.

3. MAIN RESULTS

The following is the main result of this paper.

THEOREM 3.1. *Let $W(t, s)$ be an evolution system satisfying (2.1)–(2.4). Assume (C1) and (C2) hold, and that $\tilde{Q} \in L(X)$ has a bounded inverse. Suppose also that*

$$\lim_{k \rightarrow \infty} k^{-1} \int_0^T g_k(s) ds = \alpha < +\infty. \quad (3.1)$$

Then there is a strongly continuous function $u: J \rightarrow X$ which satisfies (I) and (II) provided

$$(M \cdot |\tilde{Q}^{-1}| \cdot |Q| + 1) M\alpha < 1. \quad (3.2)$$

Proof. We have shown that problem (I), (II) is equivalent to the equation

$$u = Nu.$$

It will be shown that N has a fixed point. By Lemma 2.4 $N: C \rightarrow C$ is completely continuous. We will show that for some natural number n , $NB_n \subseteq B_n$. Then N has a fixed point in B_n by the Leray-Schauder theorem. Suppose to the contrary that for each natural number k there is a function $u_k \in B_k$ with $Nu_k \notin B_k$, i.e. with $\|Nu_k\| > k$. Then

$$1 < \frac{1}{k} \|Nu_k\|.$$

Thus we have $1 \leq \lim_{k \rightarrow \infty} k^{-1} \|Nu_k\|$. But also

$$\begin{aligned} \lim_{k \rightarrow \infty} k^{-1} \|Nu_k\| &\leq \lim_{k \rightarrow \infty} k^{-1} \{ \sup_{t \in J} |Vu_k(t)| + \sup_{t \in J} |\psi u_k(t)| \} \\ &\leq \lim_{k \rightarrow \infty} k^{-1} \left\{ M |\tilde{Q}^{-1}| \left(|a| + |Q| \int_0^T Mg_k(s) ds \right) + \int_0^T Mg_k(s) ds \right\} \\ &= M |\tilde{Q}^{-1}| \cdot |Q| \cdot M \cdot \alpha + M\alpha \\ &= (M |\tilde{Q}^{-1}| \cdot |Q| + 1) M\alpha < 1, \end{aligned}$$

a contradiction. Hence, $NB_n \subseteq B_n$ for some positive integer n , and by the Leray-Schauder theorem there is $u \in B_n$ with $u = Nu$. This completes the proof of the theorem.

Chow and Lasota [1] point out that solutions to boundary value problems for ordinary differential equations often exist if the boundary operator is close to the initial value operator. We have,

COROLLARY 3.1. Assume $W(t, s)$ satisfies (2.1)–(2.4) and that f satisfies (C1), (C2), and (3.1) with $\alpha = 0$. Define $L: C \rightarrow X$ by $Lu = u(0)$, and let $Q: C \rightarrow X$ be a continuous linear mapping. If $|Q - L| < M^{-1}$ then (I), (II), has a solution.

Proof. All we need show is that \tilde{Q} has a bounded inverse. Note that $\tilde{L} = I$, the identity on X . Thus

$$\begin{aligned} |\tilde{Q} - I| &= \sup_{|x|=1} |QW(\cdot, 0)x - LW(\cdot, 0)x| \\ &\leq |Q - L| \sup_{|x|=1} \|W(\cdot, 0)x\| \\ &\leq |Q - L| \cdot M < 1. \end{aligned}$$

Thus \tilde{Q} has a bounded inverse.

COROLLARY 3.2. *Let $W(t, s)$ satisfy (2.1)–(2.4) and let f satisfy (C1) and (C2). Then for each $u_0 \in X$ there is a number $t_1 = t_1(u_0) > 0$ and a function $u \in C([0, t_1], X)$ which solves (I) on $[0, t_1]$, and such that $u(0) = u_0$.*

Proof. Choose $\rho > 0$ and let $B_\rho(u_0) = \{x \in X : |x - u_0| \leq \rho\}$. Define a function $\tilde{f} : J \times X \rightarrow X$ by

$$\begin{aligned}\tilde{f}(t, x) &= f(t, x) & \text{if } 0 \leq t \leq T \text{ and } x \in B_\rho(u_0) \\ &= f(t, \tilde{x}) & \text{if } 0 \leq t \leq T \text{ and } x \notin B_\rho(u_0)\end{aligned}$$

where $\tilde{x} = u_0 + \rho \cdot (u_0 - x) \cdot |u_0 - x|^{-1}$. Let N be an integer such that $B_\rho(u_0) \subseteq B_N$. Then $|\tilde{f}(t, x)| \leq g_N(t)$ for all $x \in X$ and a.e. $t \in J$.

The hypotheses of Theorem 3.1 are thus satisfied for \tilde{f} with $Qu = u(0)$ and $\alpha = 0$. Hence there is a function $u \in C(J, X)$ with $u(0) = u_0$ satisfying (I) with \tilde{f} in place of f . However, u is continuous so there exists $t_1 > 0$ such that $|u(t) - u_0| < \rho$ for $0 \leq t \leq t_1$. Since $f(t, x) = \tilde{f}(t, x)$ on $[0, t_1] \times B_\rho(u_0)$, it follows that $u(t)$ satisfies (I) on $0 \leq t \leq t_1$.

The following result concerns periodic solutions.

COROLLARY 3.3. *Let $\{W(t, s) : 0 \leq s \leq t < \infty\}$ satisfy (2.1)–(2.4) for all $T > 0$ and suppose*

(i) *There is a number $p > 0$ such that $W(t + p, s + p) = W(t, s)$ for $0 \leq s \leq t < \infty$.*

(ii) *$W(p, 0)x = x$ iff $x = 0$.*

(iii) *$f : [0, \infty) \times X \rightarrow X$ satisfies (C1) for all $T > 0$ and $f(t + p, x) = f(t, x)$ for all $t \geq 0$ and $x \in X$.*

(iv) *For each positive integer k there is a function $g_k \in L^1(0, p)$ with*

$$\sup_{|z| \leq k} |f(t, x)| \leq g_k(t) \quad \text{for a.e. } t \in [0, p],$$

and

$$\lim_{k \rightarrow \infty} k^{-1} \int_0^p g_k(s) ds = 0.$$

Then there exists a p -periodic solution to the integral equation (I), valid for all $t \geq 0$.

Proof. We first prove the existence of a solution to the boundary problems

$$z(t) = W(t, 0)z_0 + \int_0^t W(t, s)f(s, z(s)) ds \quad (0 \leq t \leq p) \quad (3.3)$$

$$Qz = z(0) - z(p) \equiv 0 \quad (3.4)$$

and then show that the solution to this problem may be extended p -periodically to all of $[0, \infty)$ as a solution of (I).

Here \tilde{Q} is defined by $\tilde{Q} = I - W(p, 0)$. Thus \tilde{Q} is a compact perturbation of the identity. By (ii) and the Fredholm alternative \tilde{Q} has a bounded inverse. It is now a consequence of the remaining hypotheses together with Theorem 3.1 that there exists a solution $z(t)$ to (3.3), (3.4). If we now define $u(t)$ on $[0, 2p]$ by $u(t) = z(t)$ if $0 \leq t \leq p$ and $u(t) = z(t - p)$ if $p < t \leq 2p$ an application of (i), (iii), and a change of variables will show that $u(t)$ is a solution to the integral equation (I) on $[0, 2p]$ and satisfies $u(t + p) = u(t)$ for $0 \leq t \leq p$. Continuing in this manner the solution may be extended p -periodically to all of $[0, \infty)$.

4. AN EXAMPLE

We wish to illustrate the results of the previous section by showing their applicability to a parabolic partial differential equation with a nonlinearity satisfying Caratheodory conditions.

Consider the following differential equation with boundary and periodicity conditions.

$$\frac{\partial u}{\partial t} = \frac{\partial^2 u}{\partial y^2} + f(t, y, u(t, y)) \quad (t > 0, 0 < y < 1).$$

$$u(t, 0) = u(t, 1) = 0 \quad (t > 0)$$

$$u(t + w, y) = u(t, y) \quad \text{for all } t \geq 0 \text{ and } y \in [0, 1].$$

Here $f: R^+ \times [0, 1] \times R \rightarrow R$ is a function and w is a fixed positive number. We assume that

- (a) $f(t + w, y, u) = f(t, y, u)$ for all $(t, y, u) \in R^+ \times [0, 1] \times R$.
- (b) For each fixed $t \in R^+$, $f(t, y, u)$ is a continuous function of (y, u) .
- (c) For each fixed $(y, u) \in [0, 1] \times R$, $f(t, y, u)$ is a (Lebesgue) measurable function of t .
- (d) There is a number β , $0 < \beta < 1$, and a function $g \in L^1(0, w)$ such that $f(t, y, u)$ satisfies the inequality

$$|f(t, y, u)| \leq g(t) (|u|^\beta + C)$$

for all $(t, y, u) \in [0, p] \times [0, 1] \times R$.

Under these conditions an abstract formulation of (4.1) has a mild solution.

Let $X = L^2(0, 1)$ and let $D \subseteq X$ be the set

$$D = \{u \in X: u, u' \in AC, u'' \in X \text{ and } u(0) = u(1) = 0\}.$$

(Here AC denotes the functions absolutely continuous on $[0, 1]$.)

Define $A: D \rightarrow X$ by $Au = u''$. Define a Nemytskii operator $F: R^+ \times X \rightarrow X$ by the rule $F(t, u)(y) = f(t, y, u(y))$ for all $(t, u) \in R^+ \times X$ and $y \in [0, 1]$. It is known that for each $t \in R^+$, $F(t, \cdot)$ maps $X = L^2(0, 1)$ into itself continuously (see, e.g., [7, p. 161]). Problem (4.1) may now be written in the abstract form

$$\begin{aligned} u'(t) &= Au(t) + F(t, u(t)) & (t > 0) \\ u(t+w) &= u(t). \end{aligned} \tag{4.2}$$

It is known [7, p. 309] that A generates a strongly continuous semigroup $T(t)$ on X and $T(t)$ is compact for each $t > 0$. Moreover, there are numbers $\delta > 0$ and $M \geq 1$ such that $\|T(t)\| \leq Me^{-\delta t}$ for all $t \geq 0$. It follows that the only periodic solution to the equation $u'(t) = Au(t)$ is the trivial solution $u(t) = 0$. Hence the only solution to the equation $T(w)x = x$ is $x = 0$. Letting $W(t, s) = T(t-s)$, $W(t, s)$ thus satisfies (i) and (ii) of Corollary 3.3.

We must show that the operator F satisfies (iii) and (iv) of Corollary 3.3. Let $u \in X$ be fixed. We must show that $F(\cdot, u)$ is strongly measurable. Since $X = L^2(0, 1)$ is separable it suffices to show that $F(\cdot, u)$ is weakly measurable [6, p. 73]. Let $\phi \in X^* = L^2(0, 1)$. Then $f(t, y, u(y)) \phi(y)$ is measurable on $[0, w] \times [0, 1]$ and by condition (d) we may show

$$\iint_E |f(t, y, u(y)) \phi(y)| dy \times dt < +\infty, \quad [0, w] \times [0, 1] = E.$$

Hence $f(t, y, u(y)) \phi(y)$ is integrable on $[0, w] \times [0, 1]$ and by Fubini's theorem

$$\langle F(t, u), \phi \rangle = \int_0^1 f(t, y, u(y)) \phi(y) dy$$

is a Lebesgue measurable function of t . Hence $F(t, u)$ is weakly measurable in t for each $u \in X$, and is thereby also strongly measurable in t for each $u \in X$.

The hypothesis that the number β satisfies $0 < \beta < 1$ may now be used to show that F satisfies (iv) of Corollary 3.3. We omit the argument. Corollary 3.3 now implies that there is a mild solution to (4.2), that is, a solution to the integral equation

$$u(t) = T(t)u(0) + \int_0^t T(t-s)F(s, u(s)) ds, \quad t > 0$$

satisfying

$$u(t+w) = u(t), \quad \text{for all } t \geq 0.$$

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